

Technology components at a glance

TECHNOLOGY COMPONENT	SERVICE PROVIDER	BASE TECHNOLOGY COMPONENT	OPTIONAL TECHNOLOGY COMPONENT
CLIENT ACCOUNT MANAGEMENT			
NetX360®	Pershing	●	
NetXInvestor Client Portal	Pershing	●	
Envestnet	Envestnet	●	
Docupace Electronic Workstation	Docupace	●	
COMPASS®	Albridge Solutions	●	
AllData Advisor®	Fiserv		▲
Vision®	DST	●	
Client Snapshot	Waddell & Reed	●	
Retail CRM Applications	Various		▲
SmartOffice Enterprise CRM	Ebix		▲
FINANCIAL PLANNING			
eMoney emX® Pro	eMoney	●	
eMoney Advanced Analytics	eMoney		▲
Tax Facts Online	National Underwriter Company		▲
INVESTMENT PLANNING & RESEARCH			
NetX360® Premium Content	Pershing		▲
Morningstar® Advisor Workstation™	Morningstar	●	
Enhanced Morningstar® Databases and Tools	Morningstar		▲
RETIREMENT PLANNING			
Retirement Calculators	Imagisoft	●	
Social Security Explorer	Impact Technologies		▲
INSURANCE PLANNING			
Insurance Planning Calculators	Waddell & Reed	●	
Morningstar® Annuity Intelligence	Morningstar	●	
Subscribe® Annuity Order Entry	Pershing	●	
MARKETING & COMMUNICATIONS			
Advisor Websites	Broadridge		▲
Automark Email Marketing System	Broadridge		▲
Broadridge Advisor Solutions	Broadridge	●	
Microsoft Outlook Web Mail	Microsoft	●	
Proofpoint™ Secure Message	Proofpoint	●	
Social Media Program	Socialware/Proofpoint		▲
WebEx	Cisco		▲
ADVISOR INFORMATION & SUPPORT			
Waddell & Reed University	Waddell & Reed	●	
ActiFi Sales Planning and Tracking Tool	ActiFi		▲
Syngy Compensation System	Waddell & Reed	●	

KEY

● Base technology component. ▲ Optional technology component, which includes an additional fee.